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Patent Docket P3230R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Eaton et al.  Serial No.: 10/006,867  Filed: December 6, 2001  For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: 1646  Examiner: Not yet assigned
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**TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA  
UNDER 42 U.S.C. §§ 2182 and 2457**

Assistant Commissioner of Patents  
ATTN: Licensing and Review  
Washington, D.C. 20231

Sir:

Applicants submit herewith a fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent application as shown in Appendix A which is appended to the fully executed property rights statement submitted herewith.

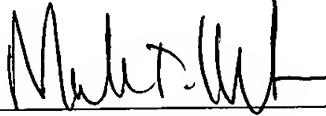
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**Serial No.:** 10/006,867  
**Filed:** December 6, 2001

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By: 

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Eaton et al.  Serial No.: 10/006,867  Filed: December 6, 2001  For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: 1646  Examiner: Not yet assigned
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**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -**  
**INVENTORS EMPLOYED BY AN ORGANIZATION**

*OKJ*  
 Assistant Commissioner of Patents  
 ATTN: Licensing and Review  
 Washington, D.C. 20231

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 10/006,867 filed in the United States of America on December 6, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

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Serial No.: 10/006,867  
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3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Full name of first inventor**

Dan L. Eaton  
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Citizenship: US

Inventor's signature: Dan Eaton

Date: 9-5-02

**Full name of second joint inventor**

Ellen Filvaroff  
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Citizenship: US

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Full name of third joint inventor**

Mary E. Gerritsen  
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Citizenship: CA  
Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Serial No.:** 10/006,867  
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Date: Sept 5/02

**Serial No.:** 10/006,867  
**Filed:** December 6, 2001

**Full name of fourth joint inventor**

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Date: Sept. 4, 2002

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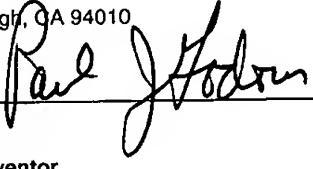
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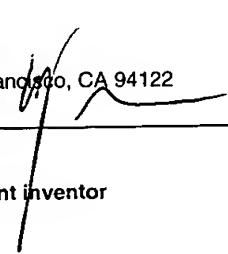
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## APPENDIX A

Application No.	Application Date	File No
10/063518	01May2002	P3230R1C10
10/063653	07May2002	P3230R1C100
10/063654	07May2002	P3230R1C101
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Application No.	Application Date	File No
10/063714	08May2002	P3230R1C145
10/063715	08May2002	P3230R1C146
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## APPENDIX A

Application No.	Application Date	File No
10/063557	02May2002	P3230R1C39
10/063512	01May2002	P3230R1C4
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## APPENDIX A

Application No.	Application Date	File No
10/063616	03May2002	P3230R1C84
10/063617	03May2002	P3230R1C85
10/063618	03May2002	P3230R1C86
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10/063644	07May2002	P3230R1C92
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10/063647	07May2002	P3230R1C94
10/063648	07May2002	P3230R1C95
10/063649	07May2002	P3230R1C96
10/063650	07May2002	P3230R1C97
10/063651	07May2002	P3230R1C98
10/063652	07May2002	P3230R1C99